

Astro 507
Lecture 32
April 15, 2020

Announcements:

- **Preflight 6: Part (a) Due Friday April 17**

Wikipedia Cosmology! propose a wikipedia upgrade
can be modest and targeted, or more ambitious
FWIW: I have a animation suggestion

Last time: inflation and the homogeneous universe

- a graceful exit from inflation *Q: how*
- inflation in the order of cosmic epoch *Q: namely?*

Today: inflation and inhomogeneities, and observational tests

- spoiler: we are all quantum fluctuations!
- overview/concepts in class—big picture
(long) technical discussion in Extras

Classical Inflation and Smoothness

expect initial spatial inhomogeneities in $\phi(\vec{x})$
but evolves **classically** as

$$\ddot{\phi} - \nabla^2 \phi + 3H\dot{\phi} - V' = 0 \quad (1)$$

where

$$\nabla^2 = \sum \frac{\partial^2}{\partial x_{\text{phys}}^2} = \frac{1}{a^2} \sum \frac{\partial^2}{\partial x_{\text{com}}^2} \quad (2)$$

inhomogeneities $\delta\phi(\vec{x})$ measured by nonzero gradients
but since $\nabla^2 \propto 1/a^2 \rightarrow 0$ exponentially, classically: $\delta\phi(\vec{x}) \rightarrow 0$
 \Rightarrow after inflation ϕ and $\rho = V(\phi)$ exponentially smooth in space

good news: solved flatness, smoothness problems

bad news: we have done too much! too smooth!
can't form structures if density perfectly uniform

Quantum Mechanics to the Rescue

but quantum mechanics exists and is mandatory
classical ϕ field \rightarrow quantized as inflatons
think \vec{E}, \vec{B} vs photons

inflaton field **must** contain quantum fluctuations
before, during inflation

uncertainty principle: $\Delta x \Delta p \sim \hbar$

causal region at time t : Hubble length $\Delta x \sim d_H = c/H(t)$

expect momentum and energy fluctuations

$$c\Delta p \sim \Delta E \sim \hbar H \quad (3)$$

- ω Q: *implications?*
- Q: *fate of fluctuations born a given scale λ_{init} ?*
- Q: *analogy with Hawking radiation?*

A Quantum Perturbation Factory

quantum mechanics: perturbations in energy $\rightarrow \delta\phi$
 \rightarrow different regions start inflation at different $V(\phi)$

www: sketch of quantum perturbations during inflation

quantum fluctuations born at scale λ_{init}

- exponentially stretched until $\lambda > d_H$ “horizon crossing”
- then no longer causally connected
 \rightarrow cannot “fluctuate back to zero”
- *“frozen in” as real density perturbations!*

cosmic structures originate from quantum fluctuations!

Hawking radiation analogy:

uncertainty principle: $\Delta E \Delta t \sim \hbar$, so in timescale

↳ $\Delta t \lesssim \hbar / m_\psi c^2$: particle pairs $\psi\bar{\psi}$ born and annihilate

black hole: one falls in, other emitted as thermal Hawking rad.

inflation: pair separated by expansion, “frozen” as fluctuation

Implications

If the inflationary model is true
density fluctuation “seeds” of cosmic structures are inflated
quantum mechanical fluctuations

Q: how does this limit what we can know about them?

Q: what can we hope to know?

Q: what do we need to calculate?

Inflationary Fluctuations: What we need to know

quantum fluctuations are *random*

→ impossible to predict locations, amplitudes of overdensities

→ cannot predict location, mass, size of *any particular*
cosmic object: galaxy/cluster/supercluster ...

but quantum mechanics does allow *statistical predictions*

What we want: *statistical* properties of fluctuations

- typical magnitude of fluctuations $\delta\phi$
- how $\delta\phi$ depends on lengthscales
- corresponding fluctuations in ρ_ϕ
- ● correlations at different length scales

Fluctuation Amplitude: Rough Estimate

quantum fluctuation \rightarrow turn to uncertainty principle

$$\delta E \delta t \sim \hbar \sim 1 \quad (4)$$

recall: energy density is

$$\rho_\phi = \frac{1}{2}\dot{\phi}^2 + \frac{1}{2}(\nabla\phi)^2 + V \quad (5)$$

if perturbation from classical: $\phi(t, \vec{x}) = \phi_{\text{cl}}(t) + \delta\phi(t, \vec{x})$,
then for small $\delta\phi \ll \phi_{\text{cl}}$,

$$\delta\rho \sim (\nabla\delta\phi)^2 + V'(\phi_{\text{cl}})\delta\phi \approx (\nabla\delta\phi)^2 \quad (6)$$

since slow roll $\rightarrow V'$ small (flat potential)

- \sphericalangle Q: *what is characteristic volume for fluctuation?*
- Q: *what is characteristic timescale δt ?*

H^{-1} is only lengthscale in problem

so $\nabla\delta\phi \sim \delta\phi/H^{-1} \Rightarrow \delta\rho \sim H^2(\delta\phi)^2$

so in Hubble volume $V_H = d_H^3 = H^{-3}$, energy fluctuation is

$$\delta E = \delta\rho V_H = \frac{(\delta\phi)^2}{H} \quad (7)$$

characteristic timescale is $\delta t \sim 1/H$, so

$$\delta E \delta t \sim \frac{(\delta\phi)^2}{H^2} \sim \hbar \quad (8)$$

and typical (root-mean-square) inflaton fluctuation is

$$\delta\phi \sim \hbar H \quad (9)$$

had to be! H is the only other dimensionally correct scale in the problem!

- ∞ Note: $H \sim \text{const}$ during inflation
all fluctuations created with \sim same amplitude

Intermission: Questions?

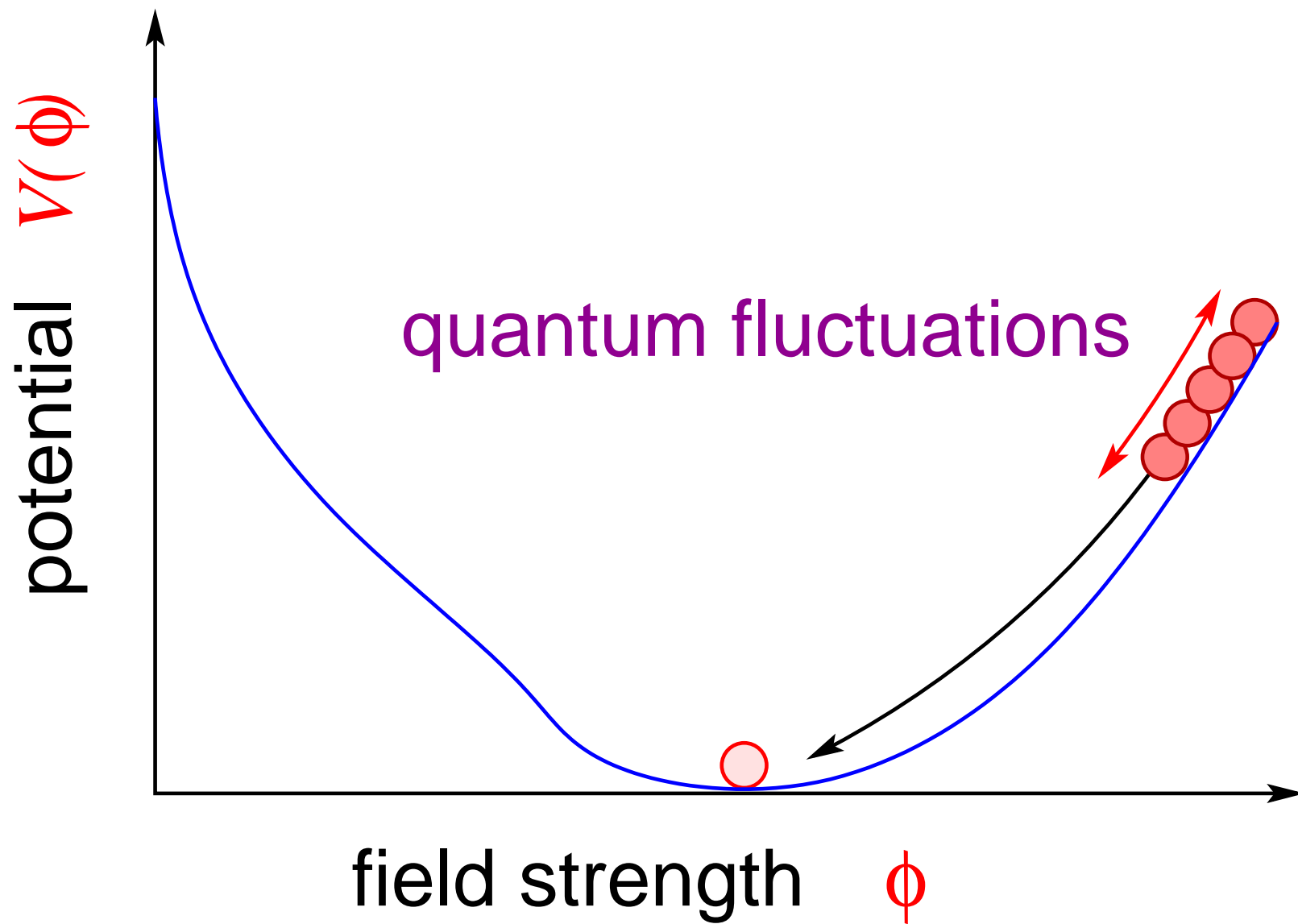
What Just Happened?

To summarize:

- *classically*, inflaton field ϕ_{cl} quickly inflates away any of its initial perturbations
- but *quantum fluctuations* $\delta\phi$ unavoidable created and persist throughout inflation
- in any region, amplitude $\delta\phi(\vec{x})$ random but *typical* value $\delta\phi \sim H$

Q: what do the presence of inflaton fluctuations mean for inflationary dynamics in different regions?

Q: what consequences/signatures of fluctuations might remain after inflation?



Fluctuation Evolution and the Cosmic Horizon

in presence of fluctuations $\delta\phi$ and $\delta\rho_\phi$
can view inflationary universe as ensemble of “sub-universes”
evolving independently—same slow roll, but
with different ϕ , ρ_ϕ at a fixed t
classical discussion \rightarrow ensemble average
now want behavior typical deviation from mean

particle horizon $\sim H^{-1}$ critical

- already saw: sets scale for fluctuation
- also “shuts off” fluctuation evolution

consider perturbation of lengthscale λ

- leaves horizon when $H \sim 1/\lambda$
- then can't evolve further: keeps same $\delta\rho/\langle\rho\rangle$
- until after inflation, when re-enters horizon

What Just Happened? ...Part Deux

the *classical* behavior of a slow-rolling ϕ
lead to homogeneity, isotropy
regardless of initial conditions
 \Rightarrow fixes horizon, flatness, monopole problem

the *quantum* fluctuations in ϕ
lead to density perturbations on all lengthscales
including scales $\gg d_{\text{hor}}$ today
these perturbations form the “seeds” for cosmic structures!

quantum mechanics & uncertainty principle
essential for the existence of cosmic structure

13 “*The Universe is the ultimate free lunch.*”

– Alan Guth

The Cosmic Harmonic Oscillator

in Director's Cut notes:

- inflaton field begins in vacuum state
- quantum fluctuations evolve as a *simple harmonic oscillator*
→ dominated by *vacuum=ground state*

Q: wavefunction of ground state simple harmonic oscillator?

Q: probability of finding particle at x ?

Q: implications for inflaton fluctuations?

Inflation Spectrum

Statistical Properties

★ Recall: inflaton quantum modes \leftrightarrow harmonic oscillator dominated by vacuum \leftrightarrow ground state $\|\psi_{\text{sho}}(x)\|^2 \sim e^{-x^2/2\Delta x^2}$
 $\phi_k \leftrightarrow x$ fluctuations are statistically **Gaussian**
i.e., perturbations of all sizes occur, but **probability** of finding perturbation of size $\delta(R)$ on scale R is distributed as a Gaussian

★ inflaton perturbations \rightarrow reheating
 \rightarrow radiation, matter perturbations
same levels in both: **“adiabatic”**

15 ★★★★★ All of these are bona fide **predictions** of inflation and are testable! Q: *how?*

Inflation Spectrum

Slightly Tilted Scale Invariance

recall: perturbation leaving horizon have very similar amplitude during inflation \rightarrow nearly same for all lengthscales $\leftrightarrow k$ perturbation rms amplitude

$$\delta_{\text{inf}}^2(k) \propto k^{-6\epsilon+2\eta} \quad (10)$$

★ successful inflation \Leftrightarrow slow roll $\Leftrightarrow \epsilon, \eta \ll 1$ demands **perturbation spectrum nearly independent of scale** nearly “self-similar,” without characteristic scale
“Peebles-Harrison-Zel’dovich” spectrum

★ successful inflation must end $\rightarrow \epsilon, \eta \neq 0$ demands small departures from scale-invariance
“tilted spectrum”

Inflation Creates Primordial Gravity Waves

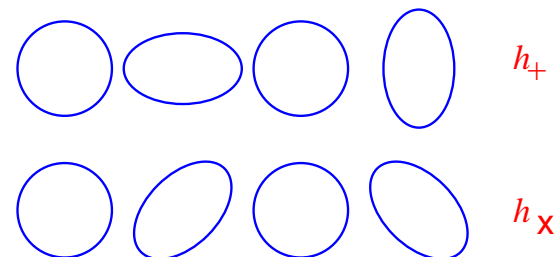
Inflaton field fluctuations are inhomogeneous perturbations to cosmic mass-energy density field

can excite **gravitational radiation**

when fluctuations have nonzero quadrupole, i.e., **tensor modes**

- **cosmic gravitational wave background**
- wavelengths span all scales up to Mpc
- wave amplitude directly related to density perturbations
- waves propagate unimpeded through Universe after inflation

gravity wave incident through page



effect on ring of test particles

.. —————→ time

Q: *how to test?*

Searching for Primordial Gravitational Waves

- waves drive quadrupole motion
introduce **CMB polarization**
we'll see: gravitational wave excite B modes—curl features
- In principle: **direct detection** possible via spacetime effects!
but cosmo signal below astro events (BH, NS)
not accessible to aLIGO/VIRGO, likely not LISA.
www: gravitational wave signal comparison

Testing Inflation: Status to Date

test inflation by measuring density fluctuations
and their statistical properties
on various scales at various epochs

CMB at large angles (large scales, decoupling)

- nearly scale invariant! woo hoo! (COBE 93)
- Gaussian distribution (COBE, WMAP, Planck)
 www: 3-yr WMAP T distribution
- WMAP, Planck: evidence for tilt! favors large scales (“red”)!
 Planck (2013): $\alpha = -0.035 \pm 0.004$ nonzero at $\sim 9\sigma$!

These did not have to be true!

- ↳ Not guaranteed to be due to inflation
but very encouraging nonetheless

Inflation Scorecard

Summary:

Inflation designed to solve horizon, flatness, smoothness
does this, via accelerated expansion driven by inflaton

But unexpected bonus: structure
inflaton field has quantum fluctuations
imprinted before horizon crossing
later return as density fluctuations
→ inflationary seeds of cosmic structure?!

Thus far: observed cosmic density fields
have spectrum, statistics as predicted by inflation

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The frontier: CMB polarization probes of cosmic gravity waves

Director's Cut Extras

Fluctuation Spectrum: In More Detail

Starting point of more rigorous treatment
in equation of motion

$$\ddot{\phi} + 3H\dot{\phi} - \nabla^2\phi + V'(\phi) = 0 \quad (11)$$

write field as sum

$$\phi = \phi_{\text{classical}}(t) + \delta\phi(t, \vec{x}) \quad (12)$$

- **classical amplitude** $\phi_{\text{cl}}(t)$
spatially homogeneous: *smooth, classical, background* field
evolves according to classical equation of motion
→ this has been our focus thus far; now add
- **quantum fluctuations** $\delta\phi(t, \vec{x})$
these can vary across space and with time

decompose spatial part of fluctuations into plane waves

$$\delta\phi(t, \vec{x}) = \sum_{\vec{k}} \delta\phi_{\vec{k}}(t) e^{i\vec{k}\cdot\vec{x}_{\text{com}}} \quad (13)$$

convenient to label Fourier modes by

comoving wavelength $\lambda \equiv \lambda_{\text{com}}$, wavenumber $k \equiv k_{\text{com}} = 2\pi/\lambda_{\text{com}}$
but *physical wavelength* $\lambda_{\text{phys}} = a\lambda_{\text{com}}$, wavenumber $k_{\text{phys}} = k/a$

as long as quantum perturbations $\delta\phi$ small (linear evolution)
each wavelength—i.e., scale—evolves independently
→ main reason to use Fourier modes

classically $\delta\phi = (\delta\phi)^2 = 0$ by definition!

≈ Q: *what is physical significance of quantum excitations in ϕ ?*

Slow Roll and Scale Dependence

Last time, and in Extras today:
dimensionless fluctuation amplitude (variance)
at comoving wavenumber $k = k_{\text{com}}$

$$\Delta^2(k) \sim \left(\frac{\delta\rho}{\rho}\right)_k \sim \left(\frac{H^2}{m_{\text{pl}}^2}\right) \left(\frac{H}{\dot{\phi}}\right)_{aH=k}^2 \sim \left(\frac{V}{\epsilon m_{\text{pl}}^4}\right)_{aH=k} \quad (14)$$

evaluated at “horizon crossing” $aH = k$

Q: *how does aH change during inflation?*

Q: *for slow roll, how does $\Delta^2(k)$ change with scale?*

The Quantum Inflaton Field

quantum mechanically:

- true ϕ has fluctuations around background value
- each \vec{k} mode \leftrightarrow independent quantum states (oscillators)
- mode fluctuations *quantized* \rightarrow quanta are inflaton particles analogous to photons as EM quanta
- occupation numbers: $n_{\vec{k}} > 0 \rightarrow$ real particles present
- if $n_{\vec{k}} = 0 \rightarrow \langle \delta\phi \rangle = 0$ no particles (vacuum/ground state)
but zero-point fluctuations still present $\langle \delta\phi^2 \rangle \neq 0$

Fluctuation Lagrangian

expand each \vec{k} mode around classical value

$$\mathcal{L}_{\vec{k}} = \frac{1}{2}\dot{\delta\phi}_{\vec{k}}^2 - \frac{1}{2}k^2\delta\phi_{\vec{k}}^2 - \frac{1}{2}V''(\phi_{\text{cl}})\delta\phi_{\vec{k}}^2 - V(\phi_{\text{cl}}) \quad (15)$$

$$\approx \frac{1}{2}\dot{\delta\phi}_{\vec{k}}^2 - \frac{1}{2}k^2\delta\phi_{\vec{k}}^2 \quad (16)$$

where slow roll \rightarrow potential terms small

\rightarrow a **massless simple harmonic oscillator**

$\delta\phi$ vacuum state: zero point fluctuations

formally same a quantum harmonic oscillator!

for *each k mode*, fluctuation *amplitudes random*

but probability distribution is like $n = 0$ oscillator

$$P(\delta\phi_{\vec{k}}) \propto e^{-\delta\phi_{\vec{k}}^2/2\sigma_{\vec{k}}^2} \quad (17)$$

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where variance $\sigma_{\vec{k}}^2 = \langle \delta\phi_{\vec{k}}^2 \rangle$

\rightarrow vacuum fluctuation amplitudes have *gaussian* distribution

Total ϕ energy density is $\rho_\phi = \rho_{\text{background}} + \rho_{\text{zeropoint}} + \rho_{\text{particles}}$
pre-inflation: could have $\rho_{\text{particles}} \neq 0$
in fact: if thermalized, $\rho_{\text{particles}} \propto T^4$ (radiation)
→ inflation only begins when $\rho_{\text{background}} \gg \rho_{\text{particles}}$
Q: what happens to inflatons after inflation begins?

after inflation begins, universe rapidly expanded, cooled
inflaton diluted away

→ inflation field driven to **vacuum (ground) state**

Since ϕ in quantum vacuum state: fluctuations are zero-point

→ **gaussian distribution** of amplitudes in each k mode

→ strong prediction of slow-roll inflation

now want to solve for size of rms σ_k at each mode

classically, perturbations have equation of motion

$$\frac{d^2}{dt^2}\delta\phi + 3H\frac{d}{dt}\delta\phi + \frac{k^2}{a^2}\delta\phi + V''\delta\phi = 0 \quad (18)$$

$$\frac{d^2}{dt^2}\delta\phi + 3H\frac{d}{dt}\delta\phi + \frac{k^2}{a^2}\delta\phi \approx 0 \quad (19)$$

(in slow roll: V'' term negligible)

Sketch of Quantum Treatment

Promote $\delta\phi \rightarrow$ operator $\widehat{\delta\phi}$

plane wave expansion: $\widehat{\delta\phi} = \sum_{\vec{k}} \widehat{\delta\phi}_{\vec{k}}$

introduce annihilation, creation operators $\widehat{a}_{\vec{k}}, \widehat{a}_{-\vec{k}}^\dagger$, then

$$\widehat{\delta\phi}_{\vec{k}} = w_k(t) \widehat{a}_{\vec{k}} + w_k^*(t) \widehat{a}_{-\vec{k}}^\dagger \quad (20)$$

where $w_k(t)$ is a solution of field equation

$$\ddot{w}_k + 3H\dot{w}_k + \left(\frac{k}{a}\right)^2 w_k = 0 \quad (21)$$

Compare limits:

- $k/a \gg H \rightarrow k \gg aH \rightarrow \lambda \ll 2\pi d_{H,\text{com}}$

Q: physical interpretation of limit?

w_k evolves as harmonic oscillator (free massless field)

- $k/a \ll H \rightarrow k \ll aH \rightarrow \lambda \gg 2\pi d_{H,\text{com}}$

Q: physical interpretation of limit?

$\dot{w}_k \propto a^{-3} \rightarrow 0 \rightarrow w_k$ value “frozen”

Inflation Perturbations: Evolution and Horizons

sub-horizon scales $\lambda \ll 2\pi d_{H,\text{com}}$

inflaton fluctuations $\delta\phi$ are causally connected

evolve like harmonic oscillator \rightarrow rms amplitude $\langle |w_k|^2 \rangle$ *constant*

but cosmic acceleration during inflation $\rightarrow d_{H,\text{com}}$ *shrinks*

since $\dot{d}_{H,\text{com}} = d(aH)^{-1}/dt = d(\dot{a}^{-1})/dt = -\ddot{a}/\dot{a}^2 < 0$ during inf
 $d_{H,\text{com}}$ shrinkage: initially sub-horizon scales \rightarrow super-horizon

super-horizon scales $\lambda \gg 2\pi d_{H,\text{com}}$

fluctuations out of causal contact

amplitude “frozen in” until post-inflation $d_{H,\text{com}}$ regrows

Inflation Perturbations: Spectrum of Amplitudes

examine fluctuations from vacuum

→ find expected amplitudes w_k

since fluctuations have *quantum* origin

- cannot predict definite values for mode amplitudes, phases
- but *can* predict statistical properties

for *different* modes \vec{k} and \vec{k}' ,

Q: *what do we expect?*

for *different* modes \vec{k} and \vec{k}' ,
expectation is

$$\langle \widehat{\delta\phi}_{\vec{k}} \widehat{\delta\phi}_{\vec{k}'} \rangle = w_k w_{k'} \langle \widehat{a}_{\vec{k}} \widehat{a}_{\vec{k}'}^\dagger \rangle + \text{c.c.} = 0 \quad (22)$$

because $\langle \widehat{a}_{\vec{k}} \widehat{a}_{\vec{k}'}^\dagger \rangle = \langle \widehat{a}_{\vec{k}} \rangle \langle \widehat{a}_{\vec{k}'}^\dagger \rangle = 0$

\Rightarrow modes are *statistically independent*

note: true even if $|\vec{k}| = |\vec{k}'| = k$ but $\vec{k} \cdot \vec{k}' = 0$

i.e., different directions $\vec{k} = k\hat{x}, \vec{k}' = k\hat{y}$

\Rightarrow *phase* $e^{i\vec{k}\cdot\vec{x}}$ is *random*

for a single mode k , vacuum expectation is

$$\langle \widehat{\delta\phi}_{\vec{k}}^2 \rangle = |w_k|^2 \langle \widehat{a}\widehat{a}^\dagger + \widehat{a}^\dagger\widehat{a} \rangle = |w_k|^2 \neq 0 \quad (23)$$

$$= \frac{H^2}{2L^3 k^3} \quad (24)$$

where last expression

- from full quantum calculation, in box of size L
- to be evaluated at horizon crossing: $k_{\text{phys}} = H \rightarrow k = aH$

each in phase space volume

$$d^3x d^3k = \frac{1}{(2\pi L)^3} 4\pi k^2 dk = \frac{4\pi k^3}{(2\pi L)^3} \frac{dk}{k} \quad (25)$$

then fluctuation amplitude is

$$P_\phi(k) \frac{dk}{k} \equiv \frac{4\pi k^3}{(2\pi L)^3} |\delta\phi_k|^2 \frac{dk}{k} = \left(\frac{H}{2\pi}\right)^2 \frac{dk}{k} \quad (26)$$

and so the phase space fluctuation density in ϕ is

$$P_\phi(k) = \left(\frac{H}{2\pi}\right)^2_{k=aH} \quad (27)$$

as before, but now

- explicitly seen independence of k
- know when to evaluate: at horizon crossing $k = aH$

Fluctuation Evolution and Spectrum

consider some fixed (comoving) scale $\lambda = 2\pi/k$ key idea: causal physics acts until $\lambda > d_{H,\text{com}}$: “horizon crossing”
→ quantum fluctuations laid down while inside $d_{H,\text{com}}$
“frozen in” once outside of $d_{H,\text{com}}$

from last time: quantum analysis gives fluctuation variance

$$\langle (\delta\phi_k)^2 \rangle = \left(\frac{H}{2\pi} \right)_{k=aH}^2 \quad (28)$$

to be evaluated at horizon crossing: $k = 1/d_{H,\text{com}} = aH$

Fluctuation Evolution and Spectrum

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Inflationary Density Perturbations: Spectrum

Recall: density fluctuations \rightarrow start inflating earlier (later)

\rightarrow more (less) expansion than average

extra scale factor boost $\delta a/a = H\delta t = H\delta\phi/\dot{\phi} \rightarrow$ larger volume

\rightarrow density perturbations have mean square

$$\delta_{\text{inf}}^2(k) \equiv \left(\frac{\delta\rho}{\rho}\right)_k^2 \quad (30)$$

$$\sim \left(\frac{\delta a}{a}\right)^2 = \left(\frac{H}{\dot{\phi}}\right)^2 (\delta\phi)^2 = \left(\frac{H}{\dot{\phi}}\right)^2 \left(\frac{H}{2\pi}\right)^2 \quad (31)$$

evaluated at $aH = k$

slow roll: $H, \dot{\phi}$ slowly varying

$\infty \rightarrow$ expect fluctuation amplitude $\sim H^4/\dot{\phi}^2 \sim \text{const}$

over wide range of k

In particular: slow roll $\dot{\phi} = -3V'/H$,
 and $H^2 = V/3m_{\text{pl}}^2$, which gives

$$\delta_{\text{inf}}^2(k) = \frac{1}{12\pi^2 m_{\text{pl}}^6} \left(\frac{V^3}{V'^2} \right) = \frac{1}{24\pi^2 m_{\text{pl}}^4} \left(\frac{V}{\epsilon} \right) \quad (32)$$

where $\epsilon = m_{\text{pl}}(V'/V)^2/2$

anticipating \sim power law behavior,

define $\delta_{\text{inf}}^2(k) \sim k^{\alpha(k)}$

then scale dependence is

$$\alpha(k) = \frac{d \ln \delta_{\text{inf}}^2(k)}{d \ln k} \quad (33)$$

evaluated when comoving scale $k = aH$ crosses horizon

i.e., this relates k to homogeneous a, ϕ values

Underlying physical question:

how do cosmic properties—e.g., $H, \rho \approx V$ —change while the universe inflates as it slowly rolls?

- if no change $\rightarrow \dot{\phi} = 0 \rightarrow$ same V, H always $\rightarrow \epsilon = 0$
all scales see same U when leaving horizon $k = aH$
 \rightarrow all scales have same quantum fluctuations
- but *slow* roll \neq *no* roll!
 $\dot{\phi} \neq 0 \rightarrow U$ properties *do* change

recall: $\delta_{\text{inf}}^2(k) \propto V/\epsilon$

and as slowly roll $\rightarrow V$ decreasing, ϵ increasing
and horizon scale $d_{H,\text{com}}$ also decreases

Q: so which scales get larger perturbations? smaller?

because V decreasing, ϵ increasing

$\delta_{\text{inf}}^2(k) \propto V/\epsilon$ decreases with time

→ smaller perturbations later in slow roll

since horizon scale $d_{H,\text{com}}$ decreases

later times \leftrightarrow smaller scales

⇒ slow roll → *smaller* perturbations on *smaller* scales

⇒ perturbation spectrum *tilted* to large scales → small k

in slow roll, $k = aH$ change mostly due to a :

$$d \ln k \approx d \ln a = \frac{da}{a} = H dt \quad (34)$$

recast in terms of inflaton potential

$$= \frac{H d\phi}{\dot{\phi}} = -3 \frac{H^2}{V'} d\phi \quad (35)$$

and so

$$\frac{d}{d \ln k} = -m_{\text{pl}}^2 \frac{V'}{V} \frac{d}{d\phi} \quad (36)$$

Using this, can show:

$$\alpha(k) = \frac{d \ln \delta_{\text{inf}}^2(k)}{d \ln k} = -6\epsilon + 2\eta \quad (37)$$

i.e., perturbation spectrum $\delta_{\text{inf}}^2(k) \propto k^{-6\epsilon+2\eta}$

Major result!

Q: why? what does this mean physically? for cosmology? for inflation?

Gravity Waves: Tensor Perturbations

- ★ so far: only looked at density (scalar) perturbations but also tensor perturbations → gravity waves!

what's really going on: *cosmic metric* is perturbed spatial part (in a particular coordinate system = gauge):

- unperturbed = FLRW

$$d\ell^2|_{\text{FLRW}} = a(t)^2 (dx^2 + dy^2 + dz^2) = a(t)^2 \delta_{ij} dx_i dx_j \quad (38)$$

with perturbations

$$d\ell^2|_{\text{pert}} = a(t)^2 e^{2\zeta} \gamma_{ij} dx_i dx_j \quad (39)$$

with *curvature perturbation* the *scalar* function $\zeta(\vec{x}, t)$

41 Q: what is its physical effect?

perturbed metric

$$dl^2|_{\text{pert}} = a(t)^2 e^{2\zeta} \gamma_{ij} dx_i dx_j \quad (40)$$

curvature perturbation scalar function $\zeta(\vec{x}, t)$ changes local volume

→ locally: isotropic stretching

tensor perturbation is, to lowest order

$$\gamma_{ij} \approx \begin{pmatrix} 1 + h_+ & h_\times & 0 \\ -h_\times & 1 - h_+ & 0 \\ 0 & 0 & 1 \end{pmatrix} = \delta_{ij} + \begin{pmatrix} h_+ & h_\times & 0 \\ -h_\times & -h_+ & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (41)$$

with *two independent modes* of amplitude h_+, h_\times

Q: *physical effect of these modes?*

tensor perturbation is, to lowest order

$$\gamma_{ij} \approx \delta_{ij} + \begin{pmatrix} h_+ & h_\times & 0 \\ -h_\times & -h_+ & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (42)$$

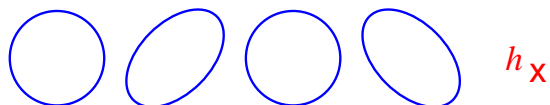
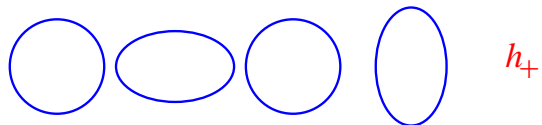
looks like rotation: roughly speaking preserves volume but changes angles

moreover: h satisfies massless wave equation!

$h \Leftrightarrow$ **gravitational radiation**

effect on a ring of test particles:

gravity wave incident through page



..  time

Metric Fluctuations

tensor perturbations directly are metric perturbation
what about the inflaton perturbations?

curvature perturbation in an invariant (coordinate independent):

$$\zeta = \Phi + H\delta t = \Phi + H\frac{\delta\phi}{\dot{\phi}} \quad (43)$$

$\Phi(\vec{x}, t)$ is local *gravitational potential perturbation*

inflation fluctuations ϕ also are metric perturbations
but amplitude differs from gravity wave amplitude

by factor $H/\dot{\phi}$

and thus scalar perturbation variance differs by factor

$$r = \frac{\Delta_h^2}{\Delta_\Phi^2} \sim \left(\frac{\dot{\phi}}{H}\right)^2 \sim \epsilon \quad (44)$$

Inflationary Tensor Perturbations

variance as a function of scale (wavenumber)

$$\Delta_h^2(k) \sim \left(\frac{V}{m_{\text{pl}}^4} \right)_{aH=k} \quad (45)$$

- evaluated at “horizon crossing” $aH = k$
- **directly probes inflation potential $V(\phi)$!**
- compare to density (“scalar”) perturbations:
tensor-to-scalar ratio

$$r = \frac{\Delta_h^2}{\Delta_\Phi^2} = 16\epsilon \quad (46)$$

- for $\epsilon \ll 1$, expect $r \ll 1$: scalar dominates