

Astro 507  
Lecture 40  
May 4, 2020

Announcements:

- **Preflight 6c due Wednesday**  
give feedback on others  
thanks for your nice contributions

Last time: baryons, dark matter, and the CMB

*Q: pre-recombination behavior on small scales: DM vs plasma?*

*Q: CMB signature on small scales?*

*Q: CMB signature on large scales?*

# The CMB Observed

- Observe 2-D sky distribution of  $T(\hat{n}) \equiv \Theta(\hat{n})$  in direction  $\hat{n}$
- Decompose temperature into spherical harmonics

$$T(\hat{n}) = \sum_{\ell=2}^{\infty} \sum_{m=-\ell}^{\ell} a_{\ell m} Y_{\ell m}(\theta, \phi) \quad (1)$$

with  $Y_{\ell m}$  spherical harmonics, and coefficients

$$a_{\ell m} = \int T(\theta, \phi) Y_{\ell m}(\theta, \phi) d\Omega \quad (2)$$

- Lowest mode:  $\ell = 0, m = 0, Y_{00} = 1$   
so  $a_{00} = \int T_{\text{obs}}(\theta, \phi) d\Omega = \langle T \rangle$ : *monopole*
- Next:  $\ell = 1, m = (-1, 0, +1), Y_{1m} = e^{im} \cos \theta$   
so  $a_{1m} = \int T(\theta, \phi) e^{im} \cos \theta d\Omega = \langle T \rangle$ : *dipole*  
note:  $\cos$  term automatically removes mean  
isolates fluctuation, here on angular scales  $180^\circ$   
same goes for higher order terms ( $\ell = 2$  quadrupole, etc)

## Angular Correlation Function

Spherical harmonics are “Fourier transform of sky”

Construct 2-point **angular correlation function**:  
compare  $T$  at two directions separated by angle  $\theta$

$$\langle T(\hat{n}_1) T(\hat{n}_2) \rangle = \frac{1}{4\pi} \sum_{\ell=2}^{\infty} (2\ell + 1) \langle |a_{\ell m}|^2 \rangle P_{\ell}(\hat{n}_1 \cdot \hat{n}_2) \quad (3)$$

$$= \frac{1}{4\pi} \sum_{\ell=2}^{\infty} (2\ell + 1) C_{\ell} P_{\ell}(\cos \vartheta) \quad (4)$$

where  $\cos \vartheta = \hat{n}_1 \cdot \hat{n}_2$ , and  $P_{\ell}$  is Legendre

roughly: **multipole**  $\ell$  corresponds to  $\theta \sim 180^{\circ}/\ell$

average over the  $m$  azimuthal modes:

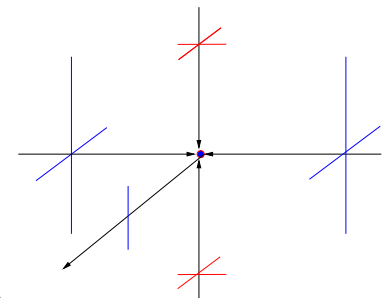
$\omega$  corresponds to no special directions, i.e., global isotropy

→ all that matters is angle of displacement, not its orientation

this is a testable and verified assumption!

## CMB Polarization: Twitter Version

- Thomson scattering  $\gamma e \rightarrow \gamma e$  creates **polarized** photons  
if incident **quadrupole anisotropy**
- **gravitational waves** drive quadrupole motion and thus are also polarization sources
- as CMB photons pass through cosmic structure **gravitational lensing** also creates polarization



Therefore:

- CMB should have nonzero polarization
- polarization and temperature fluctuations intimately linked
- encodes gravity wave and structure info

## Polarization Observed

First detection: pre-WMAP!

★ DASI (2002) ground-based interferometer  
at level predicted based on  $T$  anisotropies! Woo hoo!

WMAP (2003): first polarization- $T$  correlation function

WMAP (2006):

- better statistics
- also polarization autocorrelation
- ★ used  $T$ -pol'n links to get model-independent  
3-D density power spectrum: consistent with scale invariant!

Today: Planck (2018), SPT etc

- strong detection of polarization, in both E modes and B modes
- temperature-polarization correlations verify basic CMB physics
- clear evidence of B-modes due to gravitational lensing  
as CMB photons pass through cosmic structure

# Sunyaev-Zel'dovich Effect: Twitter Version

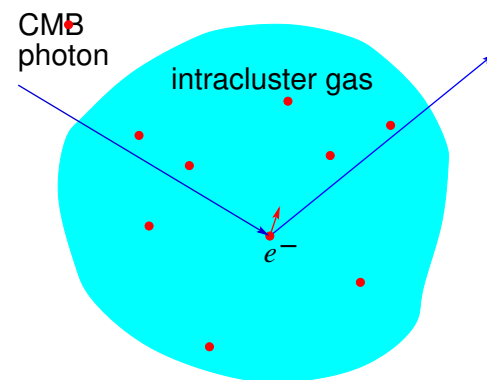
the post-recombination Universe is a CMB “foreground”  
and does more than lensing

Sunyaev & Zel'dovich (1972):

consider a **galaxy cluster**

filled with **hot, ionized gas**

hot intracluster electrons will scatter  
a fraction  $\tau \sim \text{few}\%$  of CMB photons



Q: consequence of  $T_{\text{CMB}} \ll T_e$ ?

Q: effect on scattered photons?

Q: cluster CMB image at low  $\nu$ ? high  $\nu$ ?

o Q: how to confirm the effect?

Q: what can we do with this?

# SZ Effect: A Cosmological Goldmine

because  $T_{\text{CMB}} \ll T_e$ : CMB photons gain energy

scattered photons: frequency shift upwards

- at small  $\nu$ : fewer photons, cluster appears as “hole”
- at large  $\nu$ : more photons, cluster appears point source
- and there is a null frequency with no change!

Observational signature: check this frequency pattern!

Confirmed! `www: SPT image with SZ holes`

Applications of SZ:

- identification of galaxy clusters independent of redshift!  
gives unbiased cluster sample!
- ✓ • probes cluster properties
- probes structure formation

# CMB Summary and Outlook

## *What has the CMB done for us?*

- confirmed hot, dense, smooth early universe
- measured primordial power spectrum, consistent w/ inflation
- seen acoustic peaks
- measured a wealth of cosmological parameters
- seen polarization: confirms underlying physics model
- not yet seen: polarization due to primordial gravity waves

## *What will the CMB do for us?*

- very soon (this year and next):  
confirmation(?) of gravity wave signal from inflation!
- CMB as background illumination for structure formation
- ∞ SZ effect, 21-cm, ...
- stay tuned!



Intermission: Questions?

## Dark Matter–Cold and Hot

Perturbation *growth* & *clustering* depends on dark matter internal motions—i.e., “temperature” or *velocity dispersion*  
key idea: velocity dispersion (spread) is like pressure  
→ stability criterion is Jeans-like

### Cold Dark Matter (CDM)

slow velocity dispersion—trapped by gravitational potentials  
no lower (well, very small) limit to structure sizes  
perturbation growth only limited by onset of matter dom  
→ small, subhorizon objects form first, then larger  
→ **hierarchical structure formation**: “bottom-up”

### Hot Dark Matter (HDM)

high velocity dispersion—escape small potentials  
small objects can’t form—large must come first  
then fragment to form smaller: “top down”

*Q: particle candidate for HDM?*

*Q: physical implications for HDM structure formation?*

*Q: how can this be tested?*

*Q: how does HDM alter the power spectrum (transfer function)?*

## Hot Dark Matter: Neutrino Cocktail

HDM classic candidate: massive ( $m_\nu \sim 1$  eV) neutrinos  
if light enough, relativistic before  $z_{\text{eq}}$

→ “free streaming” motion out of high-density regions

→ characteristic streaming scale: horizon size when  $\nu \rightarrow$  nonrel

$$\lambda_{\text{FS},\nu} \sim 40 \Omega_m^{-1/2} \sqrt{1 \text{ eV}/m_\nu} \text{ Mpc} \quad (5)$$

★ perturbations on scales  $\lambda < \lambda_{\text{FS}}$  suppressed

★  $\lambda_{\text{FS},\nu}$  sensitive to absolute  $\nu$  masses!

If HDM is dominant DM: expect *no* structure below  $\lambda_{\text{FS}}$

→ a pure HDM universe already ruled out!

If “mixed dark matter,” dominant CDM, with “sprinkle” of HDM  
HDM reduces structure below  $\lambda_{\text{FS}}$

→  $\lambda_{\text{FS}}$  written onto power spectrum (transfer function)

→ accurate measurements of, e.g.,  $P(k)$  sensitive to  $m_\nu$

cosmic structure can weigh neutrinos! (goal of DES, et al)

# $\Lambda$ CDM

“Standard” Cosmology today:  $\Lambda$ CDM ...namely:

- FLRW universe
- today dominated by cosmological constant  $\Lambda \neq 0$
- with cold dark matter
  - ⇒ hierarchical, bottom-up structure formation
- ...and usually also inflation: scale invariant, Gaussian, adiabatic

This is the “standard” model but not the only one

*Q: arguments in favor?*

*Q: arguments for other possibilities?*

*Q: which pieces most solid? which shakiest?*

At minimum:  $\Lambda$ CDM is *fiducial* / *benchmark* model  
standard of comparison for alternatives

...and so we will adopt  $\Lambda$ CDM the rest of the way

# Nonlinear Reality

So far: much success in understanding structures in the linear regime  $\delta \ll 1$

But the real universe is nonlinear!

What happens when perturbations become large?

⇒ both theory and observations become challenging!

**Theory:** nonlinear dynamics rich = interesting = hard  
some ingenious analytical approximations, special cases  
but serious calculations require numerical solution

**Observation:** collapsed objects can be easy to find  
e.g., bright galaxies—but more to the picture than meets the eye

- can't see the DM halos (usually!); mass doesn't trace light
- how to define a halo? measure its mass?

*Q: why would this be ambiguous?*

# Press-Schechter Analysis

## Outlook

adopt hierarchical picture (i.e., some form of CDM)

⇒ matter at *every* point belongs to some structure

over time: go from many small structures to fewer, larger ones

## Goal

Given properties of density field—i.e.,  $P_{\text{init}}(k) = \langle \delta_k^2 \rangle$

Compute distribution of structures as function of mass, time

**Quantitatively:** want “mass function”

comoving number density of structures

in mass range  $(M, M + dM)$ :

$$\frac{dn_{\text{com}}}{dM}(M, t) \tag{6}$$

from this, can compute many other things

e.g., density in  $(M, M + dM)$  *Q: which is...?*

## Press-Schechter Ingredients/Assumptions

- given mass  $M$ , *filter* density field

on comoving length  $R$  such that  $M = 4\pi/3 \rho_{\text{bg,com}}(t)R^3$

density contrast has *variance*  $\sigma^2(M) = \int P(k) W(k; R) d^3k$

- *in linear regime*, density field obeys *Gaussian statistics*:  
in filtered field, probability of finding contrast in  $(\delta_{\text{lin}}, \delta_{\text{lin}} + d\delta_{\text{lin}})$ :

$$P(\delta_{\text{lin}}; M, t) d\delta_{\text{lin}} = \frac{1}{\sqrt{2\pi\sigma^2(M, t)}} \exp\left[-\frac{\delta_{\text{lin}}^2}{2\sigma^2(M, t)}\right] d\delta_{\text{lin}} \quad (7)$$

why only good in linear regime  $Q$ : *why?*

- *Spherical collapse model* maps from linear  $\rightarrow$  nonlinear  
identifies *linear contrast threshold*  $\delta_c \simeq 1.69$  for collapsed objects

note:  $\delta_c$  is time indep! (in EdS cosmo)

$\Rightarrow$  can find fraction of cosmic mass in objects of mass  $M$

$Q$ : *how?*



*fraction of mass* or of comoving volume  
*in collapsed objects of mass  $M$  at time  $t$  is*

$$f(> \delta_c; M, t) = \int_{\delta_c}^{\infty} P(\delta_{\text{lin}}; M, t) d\delta_{\text{lin}} \quad (8)$$

$$= \frac{1}{\sqrt{2\pi\sigma^2(M, t)}} \int_{\delta_c}^{\infty} \exp\left[-\frac{\delta_{\text{lin}}^2}{2\sigma^2(M, t)}\right] d\delta_{\text{lin}} \quad (9)$$

$$= \frac{1}{\sqrt{2\pi}} \int_{\delta_c/\sqrt{2}\sigma}^{\infty} e^{-u^2} du \equiv \frac{1}{2} \text{erfc}\left[\frac{\delta_c}{\sqrt{2}\sigma(M, t)}\right] \quad (10)$$

- for realistic  $P(k)$ ,  $\sigma^2(M) \sim \int k^3 P(k) W_k(M) dk/k \sim M^{-(n+3)/3}$   
 $\rightarrow$  at fixed mass,  $\sigma(M, t)$  **monotonically decreases** with  $M$   
 (down to some minimum  $M$  cutoff)
- $\sigma(M, t)$  evolves (linearly) as  $\sigma \sim a(t) \sim 1/(1+z)$

Q: *implications for mass distribution at fixed time?*

Q: *implications for structure formation over time?*

## Press-Schechter: mass fraction and structure formation

$$f(> \delta_c; M, t) = \frac{1}{\sqrt{2\pi}} \int_{\delta_c/\sqrt{2}\sigma}^{\infty} e^{-u^2} = \frac{1}{2} \operatorname{erfc} \left[ \frac{\delta_c}{\sqrt{2}\sigma(M, t)} \right] \quad (11)$$

★ mass distribution at fixed  $t$ :

as filter mass  $M$  **decreases**, variance  $\sigma(M)$  **increases**

▷ more large fluctuations → more above threshold

▷ more structures at smaller masses

i.e.,  $\delta_c/\sqrt{2}\sigma(M)$  decreases → larger  $f$

⇒ smallest halos most numerous → hierarchy of masses!

★ time evolution at fixed  $M$ :

at time, scale factor **increases**, variance  $\sigma(t) \propto a(t)$  **increases**

▷ more structures at fixed mass

▷ small structures merge → larger (at expense of smallest)

⇒ hierarchical clustering!

## Press-Schechter Mass Function I: Quick-n-Dirty

Press & Schechter (1974):

note that structures can only be made from *over*densities

but *under*densities (voids) occupy mass fraction  $f(\delta_{\text{lin}} < 0) = 1/2$

so fraction of *overdensities* in collapsed objects of  $M$  is

$$F(> \delta_c; M, t) = \frac{f(\delta_{\text{lin}} > \delta_c)}{f(\delta_{\text{lin}} > 0)} = 2f(\delta_{\text{lin}} > \delta_c) \quad (12)$$

famous factor of two!

Compare mass fraction at  $M$  and  $M + dM$ : difference

$$dF = F(M + dM) - F(M) \simeq \frac{dF}{dM} dM \quad (13)$$

$$= \sqrt{\left(\frac{2}{\pi}\right)} \frac{d\sigma(M)^{-1}}{dM} \frac{\delta_c}{\sigma(M)} e^{-\delta_c^2/2\sigma^2(M)} dM \quad (14)$$

But probability of finding structure  $M$  in filter volume  $V_{\text{com}} = M/\rho_{\text{bg}}$  is

$$dF(M) = V \frac{dn}{dM} dM = \frac{M}{\rho_{\text{bg}}} \frac{dn}{dM} dM \quad (15)$$

and so *PS mass function* is

$$M \frac{dn}{dM} = \frac{\rho_{\text{bg}}}{M} M \frac{dF}{dM} = \sqrt{\frac{2}{\pi}} \frac{d \ln \sigma(M)^{-1}}{d \ln M} \frac{\delta_c}{\sigma(M)} \frac{\rho_{\text{bg}}}{M} e^{-\delta_c^2/2\sigma^2(M)}$$

- implicitly also a function of  $t$  via  $\rho_{\text{bg}}(t)$  and  $\sigma(M, t)$
- encodes and quantifies hierarchical clustering

from this can immediately find, e.g., distribution of (comoving) density across masses of collapsed objects:

$$\frac{d\rho(M)}{dM} = M \frac{dn}{dM} \quad (16)$$

# Press-Schechter: Summary

## Quantitative Output

- ★ Easy to use, very powerful (semi-)analytic mass function

## Qualitative Worldview/Limitations

- ★ every point lies in **exactly one** structure:  
largest above threshold
- ★ all structures have  $\delta_{\text{lin}} = \delta_c$ : born today!
- ★ PS blind to interior substructure  
and formation history of a given object

*Q: how to test PS theory?*

*Q: which structures should be best described? worst?*

# Tests of Press-Schechter

## Versus Numerical Simulations

PS is idealized analytic approximation of hierarchical clustering  
assumes true density field  $\delta$  perfectly mapped onto  
linear field  $\delta_{\text{lin}}$  vis spherical collapse model

Even if underlying CDM, hierarchy idea right, PS approximate  
→ test against numerical simulations w/ non-ideal  $\delta$  field  
results: unreasonably good agreement!

## Versus Observations

Best applicable to those just formed:  $\sigma(R) \sim \sigma_8 \sim 1$   
→ galaxy clusters!  $M \sim 10^{15} M_{\odot}$ , and so PS gives

$$n(M) \sim M \frac{dn}{dM} \sim \frac{\rho_0}{M} \nu e^{-\nu^2/2} \sim \frac{\rho_0}{M} \sim 10^{-4} \text{ Mpc}^{-3} \quad (17)$$

about right! (where  $\nu = \delta_c / \sqrt{2}\sigma \sim 1$ )

...and works unreasonably well at other scales too

# Applications of Press-Schechter

## Mergers

PS very powerful because gives mass function vs **time**:

$$\mathcal{N}(M, t) = M \frac{dn}{dM}(t) \sim \nu(t) e^{-\nu^2(t)/2} \quad (18)$$

with

$$\nu(t) = \frac{\delta_c}{\sigma(M, t)} = \frac{\delta_c}{D(t)\sigma_{\text{init}}(M)} = \frac{a(t_{\text{init}})}{a(t)} \nu_{\text{init}} \quad (19)$$

recall:  $\sigma_{\text{init}}(M)$  decreases with  $M$  Q: why?

So to find time change: just take derivative

$$\dot{\mathcal{N}} \sim |\dot{\nu}|(\nu^2 - 1)e^{-\nu^2/2} \sim \text{creation} - \text{destruction} \quad (20)$$

Q: merging for large, small  $\nu$ ? large, small  $M$ ?

at fixed time  $t$

$$\dot{\mathcal{N}} \sim |\dot{\nu}|(\nu^2 - 1)e^{-\nu^2/2} \quad (21)$$

small  $M \rightarrow$  largest  $\sigma$ :  $\nu = \delta_c/\sigma(m) < 1$

$\dot{\mathcal{N}} > 0$ : net destruction

and so large  $M \rightarrow$  net creation – at expense of small objects

## PS Application II: Quasar Abundance

- Quasars must be massive (Eddington limit) black holes at galaxy centers  $\rightarrow$  demands  $M_{\text{gal}} > M_{\text{bh}} \gtrsim 10^{12} M_{\odot}$
- Quasars found out to high redshift  $z > 3$  (in fact  $\gtrsim 7$ )

PS: can find number density of objects with  $M > 10^{12} M_{\odot}$  at epoch  $z = 3$

$$n_{\text{com}}(> 10^{12} M_{\odot}; z = 3) = \int_{10^{12} M_{\odot}} \frac{dn}{dM} dM \sim 10^{-8} \text{ Mpc}^{-3} \quad (22)$$

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about right!



# Director's Cut Extras

## CMB Polarization

Recall: pre-recombination, photons coupled to baryons via Thomson scattering with electrons

Key fact: Thomson scattering is anisotropic and polarized polarization of scattered radiation scales as

$$\frac{d\sigma_T}{d\Omega} \propto |\hat{\epsilon}_{\text{in}} \cdot \hat{\epsilon}_{\text{sc}}|^2 = \cos^2 \theta \quad (23)$$

where:

$\hat{\epsilon}_{\text{in}}$  is *incident photon polarization*

$\hat{\epsilon}_{\text{sc}}$  is *scattered photon polarization*

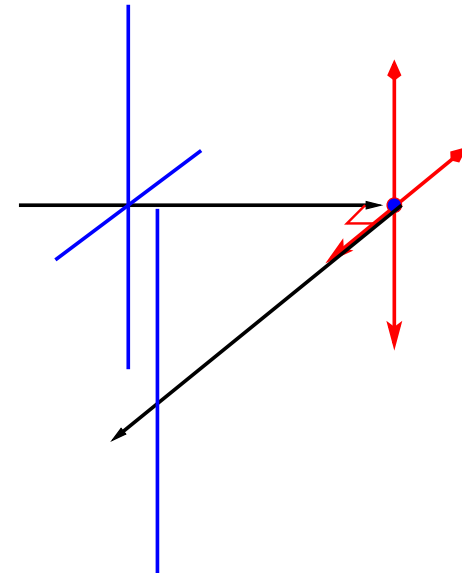
and propagation is transverse:  $\hat{\epsilon}_{\text{in}} \cdot \hat{n}_{\text{in}} = 0$

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Q: why physically? hint: think of  $e$  as antenna

max scattered polarization when in plane normal to initial pol'n  
zero scattered intensity in direction of initial pol'n

classical picture:  $e^-$  as dipole antenna  
incident polarized wave accelerates  $e^-$   
→ azimuthally symmetric radiation,  
peaks in  $\theta = 0$  plane



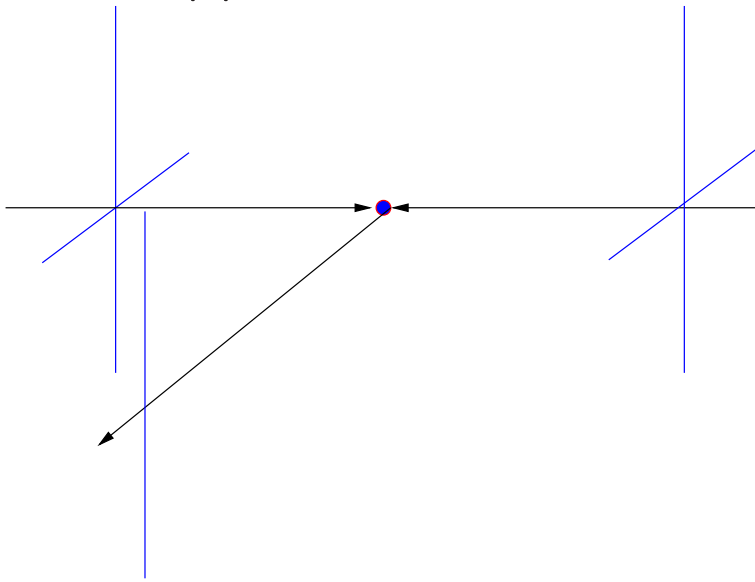
note: since  $\cos^2 \theta \propto \cos 2\theta$ , scattered rad has  $180^\circ$  periodicity  
→ a “pole” every  $90^\circ$ : **quadrupole**

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Q: *what if unpolarized radiation from 2 opposite directions?*

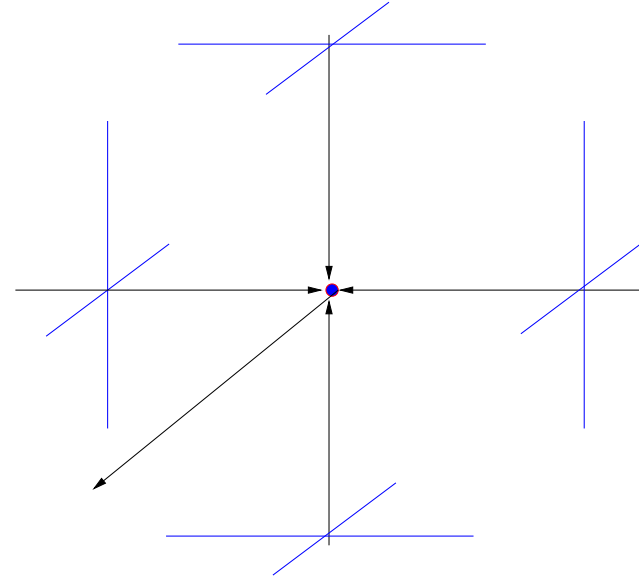
Q: *what if isotropic unpolarized radiation?*

from opposite incident directions:



**still linearly polarized!**

for isotropic radiation:



**unpolarized!**

...as demanded by symmetry

## Polarization and Inhomogeneity

Pre-recomb: repeated Thomson scattering  
randomizes polarization → CMB unpolarized

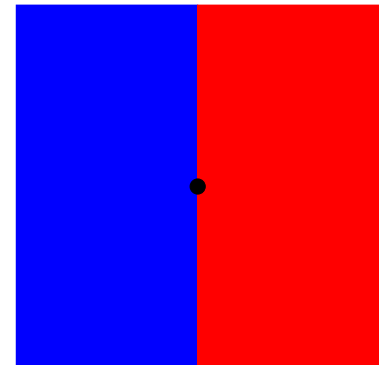
But **at recomb**, last scattering evens “uncompensated”

- if plasma homogeneous: still no net polarization
- but inhomogeneities → net linear polarization in CMB

Consider point on hot-cold “wall”

*Q: what is scattered polarization? why?*

*Q: what temperature pattern seen at point?*

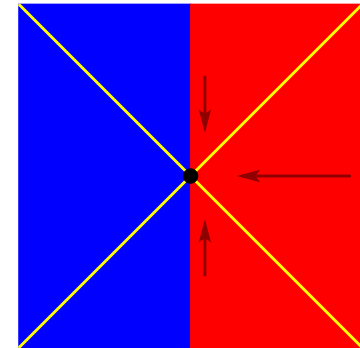


pattern seen at point:

*dipole* anisotropy

extra polarized radiation from hot region cancels

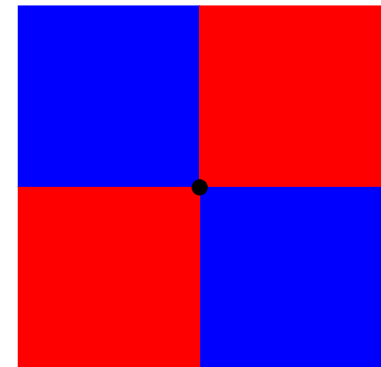
dipole anisotropy:  
unpolarized



Now consider point on “checkerboard vertex”

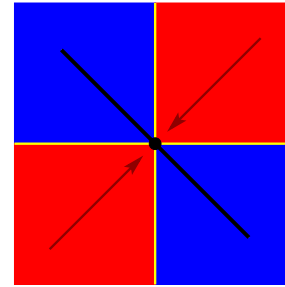
*Q: what is scattered polarization? why?*

*Q: what temperature pattern seen at point?*



point sees *quadrupole* anisotropy  
extra polarization from hot regions  
doesn't cancel

quadrupole anisotropy:  
linear polarization



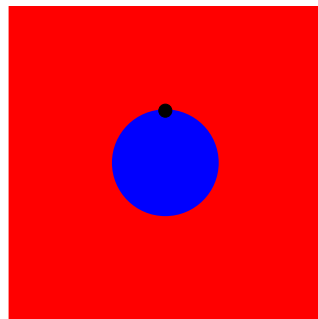
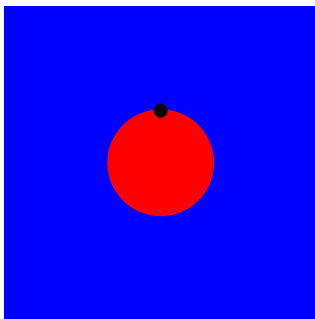
→ net linear polarization towards us, aligned w/ "cold" axis

www: cool Wayne Hu movie

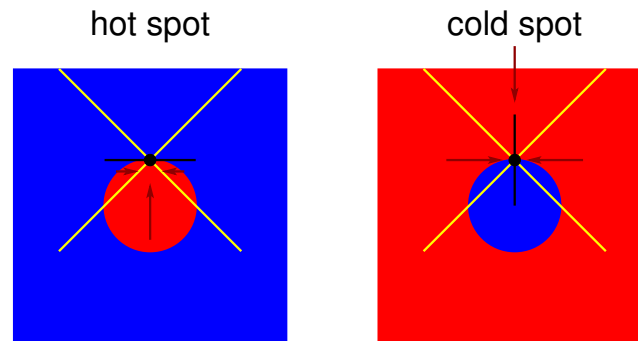
*Q: what about edge of circular hot spot? cold spot?*

hot spot

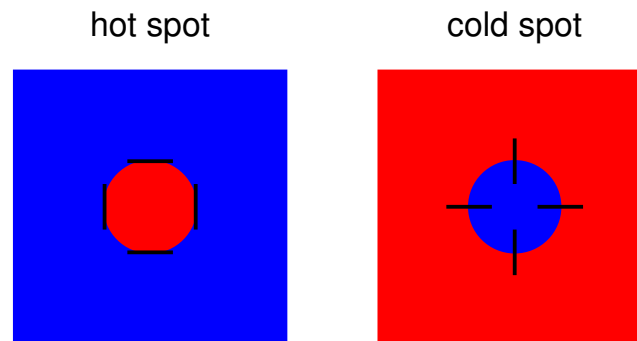
cold spot



at a single point on edge:



so by symmetry:



polarization tangential (ring) around hot spots

radial (spokes) around cold spots

(superpose to “+” = zero net polarization—check!)

www: WMAP polarization observations of hot and cold spots

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Note: polarization &  $T$  anisotropies *linked*

→ consistency test for CMB theory and hence hot big bang



## Polarization: $E$ and $B$ Modes

CMB polarization makes headless vector field on sky  
i.e., at each point, polarization vector (possibly zero)  
but vector has no “forward/backward” arrow

can decompose polarization field into

- $E$  modes:  $\text{div}\vec{P} \neq 0$  and  $\text{curl}\vec{P} = 0$
- $B$  modes:  $\text{div}\vec{P} = 0$  and  $\text{curl}\vec{P} \neq 0$

*Q: which modes from hot spots? cold spots?*

can show:

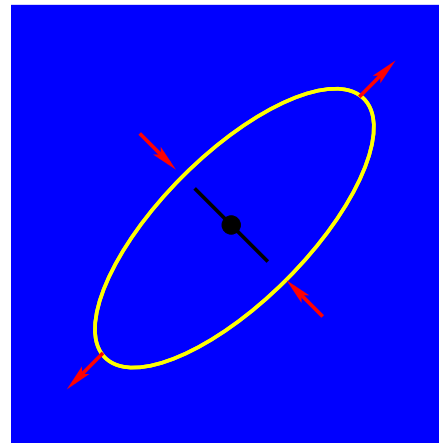
- *temperature (scalar) perturbations only excite  $E$  modes*
- *tensor (gravity wave) perturbations excite both  $E$  and  $B$  modes*

## *B* Modes and Gravity Waves

recall: gravity waves preserved volume  
but stretch and squeeze in  $+$  and  $\times$  modes

effect on CMB:  
velocity perturbation  
leads to linear polarization

gravity wave:  
linear polarization



## Cosmology with Clusters: S-Z Effect

clusters contain  $T \sim 1/4$  keV gas seen in X-rays  
→ intracluster medium (ICM) fully ionized → free  $e^-$   
these are targets which scatter photons—including CMB!

### Sunyaev & Zel'dovich 1972

consider CMB photon passes thru a cluster

scattering rate per photon  $\Gamma_{sc} = n_e \sigma_T c$

in time to move increment  $ds = c dt$ , # scatterings is

$$d\tau = \Gamma_{sc} dt = n_e \sigma_T ds = \frac{ds}{\lambda_{\text{mfp}}} \quad (24)$$

i.e., number of mean free paths  $\lambda_{\text{mfp}} = (n\sigma)^{-1}$  traversed

total # scatterings: **optical depth** in line-of-sight thru cluster

$$\tau = \sigma_T \int_{\text{los}} n_e ds \simeq \sigma_T \frac{f_{\text{baryon}} M_{\text{cluster}} / m_p}{R_{\text{cluster}}^2} \sim 0.004 \left( \frac{M_{\text{cluster}}}{10^{15} M_{\odot}} \right) \left( \frac{2 \text{ Mpc}}{R_{\text{cluster}}} \right)^2$$

Q: which means?

## CMB Scattering by Intracluster Gas

mean free path is that for Thompson scattering:

$\ell_\nu^{-1} = \alpha_\nu = n_e \sigma_T$  independent of frequency

and thus optical depth is integral over cloud sightline

$$\tau_\nu = \int \alpha_\nu ds = \sigma_T \int n_e ds \quad (25)$$

thus transmission probability is  $e^{-\tau_\nu}$ , and so  
absorption probability is  $1 - e^{-\tau_\nu}$

but for galaxy clusters:  $\tau < 10^{-3} \ll 1$ ,

and so *absorption probability* is just  $\tau$

Q: *implications?*

Q: *effect of scattering if electrons cold, scattering is elastic?*

Q: *what if electrons are hot?*

if electrons are hot, they transfer energy to CMB photons  
change temperature pattern, in frequency-dependent way

What is net change in energy?

initial photon energy density is  $u_0 = u_{\text{cmb}} = 4\pi B(T_{\text{cmb}})/c$

power transfer per electron is  $P_{\text{Compt}} = 4(kT_e/m_e c^2)\sigma_T c u_0$ , so

$$\frac{\partial u}{\partial t} = P_{\text{Compt}} n_e = 4 \frac{kT_e}{m_e c^2} \sigma_T c u_0 n_e \quad (26)$$

and thus net energy density change

$$\Delta u = 4\sigma_T u_0 \int \frac{n_e kT_e}{m_e c^2} ds = 4 \frac{kT_e}{m_e c^2} \tau u_0 \quad (27)$$

Q: implications?

CMB energy density change through cluster

$$\Delta u = 4\sigma_T u_0 \int \frac{n_e kT_e}{m_e c^2} ds = 4 \frac{kT_e}{m_e c^2} \tau u_0 \equiv 4y u_0 \quad (28)$$

- dimensionless **Compton- $y$  parameter**

$$y \equiv \sigma_T \int \frac{n_e kT_e}{m_e c^2} ds \simeq \tau \frac{kT_e}{m_e c^2} \simeq 3\tau\beta^2 \quad (29)$$

- note  $n_e kT_e = P_e$  electron pressure  
→  $y$  set by line-of-sight pressure

fractional change in (integrated) energy density  $\Delta u/u_0 = 4y$

- positive change → (small) net heating of CMB photons
- since  $u \propto I$ , this also means

$$\frac{\Delta I_{\text{cmb}}}{I_{\text{cmb}}} = 4y \quad (30)$$

cluster generated net CMB “hotspot”

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*Q: expected frequency dependence?*

## SZ Effect: Frequency Dependence

on average, we expect photons to gain energy  
adding intensity at high  $\nu$ , at the expense of low  $\nu$

but note that in isotropic electron population

- some scatterings will reduce energy
- while others will increase it

detailed derivation is involved:

- allow for ordinary and stimulated emission
- include effects of electron energy distribution
- allow for Compton shift in energy
- use Thomson (Klein-Nishina) angular distribution

full equation (Kompaneets and generalization)

describes *“diffusion” in energy (frequency) space*

but key aspect comes from basic Compton property  $Q$ : *namely?*

# Thermal SZ Effect as a Probe of Galaxy Cluster

in each line of sight

SZ measures Comptonization parameter in a cluster:

$$y = \sigma_T \int \frac{n_e kT_e}{m_e c^2} ds = \frac{\sigma_T}{m_e c^2} \int P_e ds \approx \frac{\sigma_T kT_e}{m_e c^2} \int n_e ds \quad (31)$$

direct measurement of *projected pressure* in column  
and if  $T_e$  known, a measure of electron column density

SZ flux measures

$$\int \cos \theta y d\Omega \approx \int y d\Omega = \frac{\int y dA}{D_A^2} \quad (32)$$

where  $D_A(z)$  is the (angular diameter) distance

$$\int y dA \approx \frac{\sigma_T kT_e}{m_e c^2} \int n_e ds dA \propto M_{\text{gas}} \quad (33)$$

→ SZ flux gives *intracluster cluster gas mass!* Q: cosmo apps?



## SZ Effect: Cosmological Applications

- *SZ identifies all clusters without redshift bias!*  
→ SZ can be used to discover high- $z$  clusters
- SZ + X-ray gives cluster size, gas mass,  $T_e$   
if cluster physics well-understood (Ricker, Vijayaraghavan)  
→ *cluster mass*
- cluster number density (“abundance”) and mass vs  $z$   
i.e., cluster *mass function* a sensitive probe of cosmology

today: clusters are the *largest bound objects*; in early U: rare number and mass vs time sensitive to *cosmic acceleration* that competes with *structure growth via gravitational instability*  
⇒ clusters probe this competition

Q: so how to find clusters, measure redshifts?

note that SZ redshift independence also means  
SZ does not give cluster redshift

**Dark Energy Survey** key project:  
optical images, redshifts of clusters  
compare with SZ survey by South Pole Telescope

www: SPT survey image

## SZ Effect: More Cosmological Applications

even for clusters not clearly imaged in SZ  
SZ effect from all clusters still imprinted on CMB  
affects  $\Delta T_{\text{cmb}}$  perturbation pattern on sky

typical angular size of cluster SZ:

for large cluster  $\theta_{\text{cluster}} \sim R_{\text{cluster}}/d_{\text{H}} \sim 3 \text{ Mpc}/4 \text{ Gpc} \sim 3 \text{ arcmin}$

i.e., SZ affects small angular scales

in  $C_\ell$  multipole space this corresponds to  $\ell \sim 200/\theta_{\text{deg}} \sim 4000$

SZ statistical imprint on CMB anisotropies:

exquisitely sensitive measure of *cosmic structure*

for experts: angular power spectrum  $C_\ell^{\text{SZ}} \propto \sigma_8^7!$

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To date: SZ contribution to power spectrum not seen! *Planck?*

## Press-Schechter II: Excursion Sets

More sophisticated (and insightful) derivation of same result

Sketch of procedure:

1. given initial density field and (Gaussian) filter window
2. pick a point  $\vec{x}$  in space, filter over neighborhood  $R$ , mass  $M(R)$
3. **scan down** in mass: at  $M \rightarrow \infty$ ,  $\sigma(M) \rightarrow 0$  Q: *why?*  
and so filtered  $\delta(\vec{x})_M = 0$
3. as  $M$  decreases,  $\sigma(M)$  increases  
filtered  $\delta(\vec{x})_M \neq 0$ , alternates sign, amplitude  
 $\Rightarrow \delta(\vec{x})_M$  is a **random walk** vs  $\sigma(M)$ ! exactly!
4. can ask: at which  $M$  does  $\delta(\vec{x})_M$  **first** cross threshold  $\delta_c$   
 $\Rightarrow$  this sets  $M$  of structure containing point  $\vec{x}$
5. repeat for all  $\vec{x}$  and average  $\rightarrow$  PS distribution follows!

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Q: *limitations/implicit assumptions?*

## Structure and Horizons

Particle horizons set range for causal physics including growth of structure

so **two** requirements for perturbation growth

- ★ perturbation must be inside “horizon,” i.e.,  $\lambda \leq d_H = H^{-1}$
- ★ U. must be matter-dominated:  $z < z_{\text{eq}}$

Choreography:

inflation lays down perturbations at  $z$  enormous all frozen in until matter domination , then

- on scales already **inside** Hubble length at  $z_{\text{eq}}$   
 $\delta_m$  growth stalled until matter-domination
- on superhorizon scales at  $z_{\text{eq}}$ ,  $\delta_m$  growth begins immediately after  $d_H > \lambda$

Today: observe scales in both regimes

Q: *What should be the difference?*

*What characteristic scale divides these regimes?*

Key scale in cosmic structure distribution:  
comoving Hubble length at matter-rad equality

$$d_{H,\text{com}}(z_{\text{eq}}) = \frac{1}{a_{\text{eq}} H_{\text{eq}}} = \frac{a_{\text{eq}}^{1/2} d_{H,0}}{\sqrt{2\Omega_m}} \sim 60 h^{-1} \text{ Mpc} \quad (34)$$

corresponding to  $k_{\text{eq}} = 1/d_{H,\text{com}} = 0.02 h \text{ Mpc}^{-1}$

*Q: sound familiar?*

How does perturbation growth differ  
on scales sub/super horizon at  $z_{\text{eq}}$ ?

in linear regime ( $\delta \ll 1$ )

linear growth factor:  $D(t) = \delta_k(t)/\delta_k(t_{\text{init}})$ ;  $k$ -independent

- large scales have linear growth factor  $D_0/D_{\text{enter}}$
- small scales have grown more in absolute terms but **less** than linear extrap from horizon entry only grown by  $D_0/D_{\text{eq}} < D_0/D_{\text{enter}}$

Dividing scale at equality horizon:

$\lambda_{\text{eq}} = d_{\text{com,hor}}(z_{\text{eq}}) \sim \eta_{\text{eq}}$  and corresponding  $k_{\text{eq}}$   
if smaller scale, horizon entry at pre-eq redshift  $z_{\text{enter}}$   
such that  $d_{\text{hor,com}}(z_{\text{enter}}) = \eta_{\text{enter}} = \lambda$   
→ small scales have growth “stunted” by factor

$$\frac{D_{\text{small}}}{D_{\text{large}}} = \frac{a_{\text{enter}}}{a_{\text{eq}}} = \left( \frac{\eta_{\text{enter}}}{\eta_{\text{eq}}} \right)^2 = \left( \frac{\lambda}{\lambda_{\text{eq}}} \right)^2 = \left( \frac{k_{\text{eq}}}{k} \right)^2 < 1 \quad (35)$$

where we used  $D \propto a \propto \eta^2$  in matter-dom

*Different scales have **not** grown by same amount!*

→ to recover initial power spectrum need to account for this

## Transfer Function

Theory (initial power spectrum) connected with  
Observation (power spectrum processed by growth)  
via **transfer function**—measures “stunting correction”

$$T_k(z) = \frac{\text{present density spectrum}}{\text{extrapolated initial spectrum}} = \frac{\delta_{k,\text{today}}}{D(z)\delta_k(z)} \quad (36)$$

$$\rightarrow \begin{cases} 1 & k < k_{\text{eq}} \\ (k_{\text{eq}}/k)^2 & k > k_{\text{eq}} \end{cases} \quad (37)$$

Note: since  $\delta_{k,\text{init}} \sim \delta_{k,0}/T_k$   
power spectrum goes as  $P_{k,\text{init}} \sim P_{k,0}/T_k^2$

Now apply to observations



# Recovering the Initial Power Spectrum

Apply transfer function to invert observed spectrum

## Observed power spectrum

- peak at  $\sim 30 \text{ Mpc} \simeq \lambda_{\text{eq}}$  (check!)
- for  $k < k_{\text{eq}}$ ,  $P_{\text{obs}}(k) \sim k^1 = P_{\text{init}}(k)$   
→ scale invariant! (check!)
- for  $k > k_{\text{eq}}$ , **turnover** in power spectrum (check!)  
quantitatively:  $P_{\text{obs}}(k) \rightarrow k^{-3}$   
so  $P_{\text{init}} \sim P_{\text{obs}}/T^2 \sim k^4 P_{\text{obs}} \sim k$   
also scale invariant (check!)

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gravitational growth of scale-invariant spectrum!